DSAA 2043 | Design and Analysis of Algorithms



Graph Algorithms (II)

Single Source Shortest Path

- Dijkstra's algorithm
- Bellman-Ford algorithm
- >All-pairs shortest paths
 - Floyd-Warshall algorithm

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Single source shortest paths



Consider a digraph G = (V, E) with edge-weight function $w : E \to \mathbb{R}$. The *weight* of path $p = v_1 \to v_2 \to ... \to v_k$ is defined to be $w(p) = \sum_{k=1}^{k-1} w(v_i, v_{i+1}).$

i=1

Example:





A *shortest path* from *u* to *v* is a path of minimum weight from *u* to *v*. The *shortest-path weight* from *u* to *v* is defined as:

 $\delta(u, v) = \min\{w(p) : p \text{ is a path from } u \text{ to } v\}.$

Note: $\delta(u, v) = \infty$ if no path from *u* to *v* exists.



If a graph G contains a negative-weight cycle, then some shortest paths do not exist.





Theorem. A subpath of a shortest path is a shortest path.

Proof. Cut and paste:



If v_j on optimal path from v_0 to v_n : $\delta(v_0, v_n) = \delta(v_0, v_j) + \delta(v_j, v_n)$.

If the sub-path v_i to v_j is not optimal, then by finding a shorter path from v_i to v_j we can strictly improve the original path.



Theorem. For all $u, v, x \in V$, we have

 $\delta(u, v) \le \delta(u, x) + \delta(x, v).$



If *u* not on shortest path from *s* to *t*: $\delta(s,t) < \delta(s,u) + \delta(u,t)$. *u* is on shortest path from *s* to *t* iff $\delta(s,t) = \delta(s,u) + \delta(u,t)$.



Problem. Assume that $w(u, v) \ge 0$ for all $(u, v) \in E$. (Hence, all shortest-path weights must exist.) From a given source vertex $s \in V$, find the shortest-path weights $\delta(s, v)$ for all $v \in V$.

IDEA: Greedy.

- Maintain a set S of vertices whose shortest-path distances from s are known.
- 2. At each step, add to S the vertex $v \in V S$. whose distance estimate from s is minimum.
- 3. Update the distance estimates of vertices adjacent to v.

Dijkstra's algorithm



 $d[s] \leftarrow 0$ for each $v \in V - \{s\}$ do $d[v] \leftarrow \infty$ $S \leftarrow \emptyset$ $Q \leftarrow V$ $\triangleright Q$ is a priority queue maintaining V - S, keyed on d[v]

Dijkstra's algorithm

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```
d[s] \leftarrow 0
for each v \in V - \{s\}
    do d[v] \leftarrow \infty
S \leftarrow \emptyset
Q \leftarrow V \triangleright Q is a priority queue maintaining V - S,
                     keyed on d[v]
while Q \neq \emptyset
    do u \leftarrow \text{EXTRACT-MIN}(Q)
         S \leftarrow S \cup \{u\}
         for each v \in Adj[u]
             do if d[v] > d[u] + w(u, v)
                      then d[v] \leftarrow d[u] + w(u, v)
```

Dijkstra's algorithm

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while Q \neq \emptyset
    do u \leftarrow \text{EXTRACT-MIN}(Q)
        S \leftarrow S \cup \{u\}
        for each v \in Adi[u]
                                                         relaxation
            do if d[v] > d[u] + w(u, v)
                     then d[v] \leftarrow d[u] + w(u, v)
                                                             step
                                      Implicit DECREASE-KEY
```





Try to think why?





S: {}





 $S: \{A\}$





S: $\{A\}$





 $S: \{A, C\}$

























Correctness — Part I



Lemma. Initializing $d[s] \leftarrow 0$ and $d[v] \leftarrow \infty$ for all $v \in V - \{s\}$ establishes $d[v] \ge \delta(s, v)$ for all $v \in V$, and this invariant is maintained over any sequence of relaxation steps.

Proof. Suppose not. Let v be the first vertex for which $d[v] < \delta(s, v)$, and let u be the vertex that caused d[v] to change: d[v] = d[u] + w(u, v). Then,

 $\begin{aligned} d[v] < \delta(s, v) & \text{supposition} \\ & \leq \delta(s, u) + \delta(u, v) & \text{triangle inequality} \\ & \leq \delta(s, u) + w(u, v) & \text{sh. path} \le \text{specific path} \\ & \leq d[u] + w(u, v) & v \text{ is first violation} \end{aligned}$

Contradiction.

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Lemma. Let u be v's predecessor on a shortest path from s to v. Then, if $d[u] = \delta(s, u)$ and edge (u, v) is relaxed, we have $d[v] = \delta(s, v)$ after the relaxation.

Proof.

Observe that $\delta(s, v) = \delta(s, u) + w(u, v)$. Suppose that $d[v] > \delta(s, v)$ before the relaxation. (Otherwise, we're done.) Then, the test d[v] > d[u] + w(u, v) succeeds, because $d[v] > \delta(s, v) = \delta(s, u) + w(u, v) = d[u] + w(u, v)$, and the algorithm sets $d[v] = d[u] + w(u, v) = \delta(s, v)$.



Theorem. Dijkstra's algorithm terminates with $d[v] = \delta(s, v)$ for all $v \in V$.

Proof. It suffices to show that $d[v] = \delta(s, v)$ for every $v \in V$ when v is added to S. Suppose u is the first vertex added to S for which $d[u] > \delta(s, u)$. Let y be the first vertex in V - S along a shortest path from s to u, and let x be its predecessor:



Correctness — Part III (continued)





Since *u* is the first vertex violating the claimed invariant, we have $d[x] = \delta(s, x)$.

When x was added to S, the edge (x, y) was relaxed, which implies that $d[y] = \delta(s, y) \le \delta(s, u) < d[u]$. But, $d[u] \le d[y]$ by our choice of u.

Contradiction.



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Handshaking Lemma $\Rightarrow \Theta(E)$ implicit DECREASE-KEY's.





Note: Same formula as in the analysis of Prim's minimum spanning tree algorithm.



Time = $\Theta(|V|) \cdot T_{\text{EXTRACT-MIN}} + \Theta(|E|) \cdot T_{\text{DECREASE-KEY}}$ Q $T_{\text{EXTRACT-MIN}}$ $T_{\text{DECREASE-KEY}}$ TotalarrayO(|V|)O(1) $O(|V|^2)$



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Unweighted graphs



Suppose that w(u, v) = 1 for all $(u, v) \in E$. Can Dijkstra's algorithm be improved?

• Use a simple FIFO queue instead of a priority queue.

Unweighted graphs



Suppose that w(u, v) = 1 for all $(u, v) \in E$. Can Dijkstra's algorithm be improved?

• Use a simple FIFO queue instead of a priority queue.

Breadth-first searchwhile $Q \neq \emptyset$ do $u \leftarrow DEQUEUE(Q)$ for each $v \in Adj[u]$ do if $d[v] = \infty$ then $d[v] \leftarrow d[u] + 1$ ENQUEUE(Q, v)

Analysis: Time = O(|V| + |E|).

Example of breadth-first search












































Q: a b d c e g i f h





Q: a b d c e g i f h





while $Q \neq \emptyset$ do $u \leftarrow \text{DEQUEUE}(Q)$ for each $v \in Adj[u]$ do if $d[v] = \infty$ then $d[v] \leftarrow d[u] + 1$ ENQUEUE(Q, v)

Key idea:

The FIFO Q in breadth-first search mimics the priority queue Q in Dijkstra.

Invariant: v comes after u in Q implies that d[v] = d[u] or
d[v] = d[u] + 1.







- (-) Slower than Dijkstra's algorithm
- (+) Can handle negative edge weights.
 - Can be useful if you want to say that some edges are actively good to take, rather than costly.
 - Can be useful as a building block in other algorithms.

Basic idea:

Instead of picking the u with the smallest d[u] to update, just update all of the u's simultaneously.



Bellman-Ford(G,s):

- $d[v] = \infty$ for all v in V
- d[s] = 0
- For i=0,..., |V|-1:
 - For u in V:
 - For v in u.neighbors:
 - d[v] ← min(d[v], d[u] + edgeWeight(u,v))

Compare to Dijkstra:

- While there are not-sure nodes:
 - Pick the **not-sure** node u with the smallest estimate **d[u]**.
 - For v in u.neighbors:
 - d[v] ← min(d[v], d[u] + edgeWeight(u,v))
 - Mark u as sure.

Instead of picking u cleverly, just update for all of the u's.



- We are actually going to change this to be less smart.
- Keep n arrays: d⁽⁰⁾, d⁽¹⁾, ..., d⁽ⁿ⁻¹⁾

Bellman-Ford*(G,s):

- $d^{(i)}[v] = \infty$ for all v in V, for all i=0,..., |V|-1
- d⁽⁰⁾[s] = 0
- For i=0,..., |V|-2:
 - For u in V:
 - For v in u.neighbors:
 - $d^{(i+1)}[v] \leftarrow \min(d^{(i)}[v], d^{(i+1)}[v], d^{(i)}[u] + edgeWeight(u,v))$
- Then dist(s,v) = d⁽ⁿ⁻¹⁾[v]

Slightly different than the original Bellman-Ford algorithm, but the analysis is basically the same.























- Does it work?
 - Yes
 - Idea to the right.

- Is it fast?
 - Not really...





Inductive Hypothesis:

d⁽ⁱ⁾[v] is equal to the cost of the shortest path between s and v with at most i edges.

Conclusion:

 $d^{(|V|-1)}[v]$ is equal to the cost of the shortest simple path between s and v. (Since all simple paths have at most |V|-1 edges).

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Proof by induction



• Inductive Hypothesis:

- After iteration i, for each v, d⁽ⁱ⁾[v] is equal to the cost of the shortest path between s and v with at most i edges.
- Base case:
 - After iteration 0... 🗸
- Inductive step:

Inductive step

S



- Suppose the inductive hypothesis holds for i.
- We want to establish it for i+1.

Say this is the shortest path between s and v of with at most i+1 edges: Let u be the vertex right before v in this path.

U

W(4,V)

Hypothesis: After iteration i, for each v, d⁽ⁱ⁾ [v] is equal to the cost of the shortest path between s and v with at most i edges.

• By induction, d⁽ⁱ⁾[u] is the cost of a shortest path between s and u of i edges.

at most i edges

- By setup, d⁽ⁱ⁾[u] + w(u,v) is the cost of a shortest path between s and v of i+1 edges.
- In the i+1'st iteration, we ensure d⁽ⁱ⁺¹⁾[v] <= d⁽ⁱ⁾[u] + w(u,v).
- So d⁽ⁱ⁺¹⁾[v] <= cost of shortest path between s and v with i+1 edges.
- But d⁽ⁱ⁺¹⁾[v] = cost of a particular path of at most i+1 edges >= cost of shortest path.
- So d[v] = cost of shortest path with at most i+1 edges.

Pros and cons of Bellman-Ford

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- Running time: O(|V||E|) running time
 - For each of |V| steps we update m edges
 - Slower than Dijkstra
- However, it's also more flexible in a few ways.
 - Can handle negative edges
 - If we constantly do these iterations, any changes in the network will eventually propagate through.

Negative edge weights?



- What is the shortest path from Gates to the Union?
- Shortest paths aren't defined if there are negative cycles!
- B-F works with negative edge weights...as long as there are not negative cycles.
 - A negative cycle is a path with the same start and end vertex whose cost is negative.
- However, B-F can detect negative cycles.



How Bellman-Ford deals with negative cycles

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- If there are no negative cycles:
 - Everything works as it should.
 - The algorithm stabilizes after |V|-1 rounds.
 - Note: Negative *edges* are okay!!
- If there are negative cycles:
 - Not everything works as it should...
 - it couldn't possibly work, since shortest paths aren't well-defined if there are negative cycles.
 - The d[v] values will keep changing.
- Solution:
 - Go one round more and see if things change.
 - If so, return NEGATIVE CYCLE $\ensuremath{\mathfrak{S}}$





- The Bellman-Ford algorithm:
 - Finds shortest paths in weighted graphs with negative edge weights
 - runs in time O(|V||E|) on a graph G with n vertices and m edges.
- If there are no negative cycles in G:
 - the BF algorithm terminates with $d^{(|v|-1)}[v] = d(s,v)$.
- If there are negative cycles in G:
 - the BF algorithm returns negative cycle.

Bellman-Ford is also used in practice.

 eg, Routing Information Protocol (RIP) uses something like Bellman-Ford.

- Older protocol, not used as much anymore.

- Each router keeps a **table** of distances to every other router.
- Periodically we do a Bellman-Ford update.
- This means that if there are changes in the network, this will propagate. (maybe slowly...)

Destination	Cost to get there	Send to whom?
172.16.1.0	34	172.16.1.1
10.20.40.1	10	192.168.1.2
10.155.120.1	9	10.13.50.0





All-pairs shortest paths



Input: Digraph G = (V, E), where $V = \{1, 2, ..., n\}$, with edge-weight function $w : E \to \mathbb{R}$.

Output: $n \times n$ matrix of shortest-path lengths $\delta(i, j)$ for all $i, j \in V$.

IDEA:

• Run Bellman-Ford once from each vertex.



Bellman-Ford*(G,s):

- $d^{(0)}[v] = \infty$ for all v in V
- $d^{(0)}[s] = 0$
- For i=0,...,n-1:
 - For v in V:
 - $d^{(i+1)}[v] \leftarrow min(d^{(i)}[v], min_{u \text{ in } v.inNeighbors} \{d^{(i)}[u] + w(u,v)\})$
- If d⁽ⁿ⁻¹⁾ != d⁽ⁿ⁾ :
 - Return NEGATIVE CYCLE \otimes
- Otherwise, dist(s,v) = d⁽ⁿ⁻¹⁾[v]

Bellman-Ford is also an example of... Dynamic Programming!

Running time: O(mn)



Input: Digraph G = (V, E), where $V = \{1, 2, ..., n\}$, with edge-weight function $w : E \to \mathbb{R}$.

Output: $n \times n$ matrix of shortest-path lengths $\delta(i, j)$ for all $i, j \in V$.

IDEA:

- Run Bellman-Ford once from each vertex.
- Time = $O(V^2E)$.
- Dense graph ($\Theta(n^2)$ edges) $\Rightarrow \Theta(n^4)$ time in the worst case.

Good first try! Can we use DP to solve it?

Optimal substructure





How can we find D^(k)[u,v] using D^(k-1)?





How can we find D^(k)[u,v] using D^(k-1)?








Case 2 continued



- Suppose there are no negative cycles.
 - Then WLOG the shortest path from u to v through {1,...,k} is **simple**.
- If <u>that path</u> passes through k, it must look like this:
- <u>This path</u> is the shortest path from u to k through {1,...,k-1}.
 - sub-paths of shortest paths are shortest paths
- Similarly for <u>this path</u>.

Case 2: we need vertex k.







 $D^{(k)}[u,v] = D^{(k-1)}[u,v]$

$D^{(k)}[u,v] = D^{(k-1)}[u,k] + D^{(k-1)}[k,v]$

• $D^{(k)}[u,v] = min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$

Case 1: Cost of shortest path through {1,...,k-1} **Case 2**: Cost of shortest path from **u to k** and then from **k to v** through {1,...,k-1}

- Optimal substructure:
 - We can solve the big problem using solutions to smaller problems.
- Overlapping sub-problems:

 $- D^{(k-1)}[k,v]$ can be used to help compute $D^{(k)}[u,v]$ for lots of different u's.



• $D^{(k)}[u,v] = min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$

Case 1: Cost of shortest path through {1,...,k-1} **Case 2**: Cost of shortest path from **u to k** and then from **k to v** through {1,...,k-1}

• Using our *Dynamic programming* paradigm, this immediately gives us an algorithm!





Floyd-Warshall algorithm

• Initialize n-by-n arrays D^(k) for k = 0,...,n

- $D^{(k)}[u,u] = 0$ for all u, for all k
- $D^{(k)}[u,v] = \infty$ for all $u \neq v$, for all k
- $D^{(0)}[u,v] = weight(u,v)$ for all (u,v) in E.
- **For** k = 1, ..., n:
 - For pairs u,v in V^2 :
 - $D^{(k)}[u,v] = \min\{ D^{(k-1)}[u,v], D^{(k-1)}[u,k] + D^{(k-1)}[k,v] \}$
- Return D⁽ⁿ⁾

This is a bottom-up **Dynamic programming** algorithm.

The base case checks out: the only path through zero other vertices are edges directly from u to v.



• Theorem:

If there are no negative cycles in a weighted directed graph G, then the Floyd-Warshall algorithm, running on G, returns a matrix D⁽ⁿ⁾ so that:

 $D^{(n)}[u,v]$ = distance between u and v in G.

- Running time: O(n³)
 - Better than running Bellman-Ford n times!

Work out the details of a proof!



- Storage:
 - Need to store **two** n-by-n arrays, and the original graph.

As with Bellman-Ford, we don't really need to store all n of the $D^{(k)}$.

What if there *are* negative cycles?

• Just like Bellman-Ford, Floyd-Warshall can detect negative cycles:

- "Negative cycle" means that there's some v so that there is a path from v to v that has cost < 0.
- $Aka, D^{(n)}[v,v] < 0.$
- Algorithm:
 - Run Floyd-Warshall as before.
 - If there is some v so that $D^{(n)}[v,v] < 0$:
 - return negative cycle.

Summary: Shortest Path Problems and Algorithms

Single-source shortest paths

- Nonnegative edge weights
 - ***** Dijkstra's algorithm: $O(|E| + |V| \lg |V|)$
- General
 - *****Bellman-Ford algorithm: O(|V||E|)

All-pairs shortest paths

- Nonnegative edge weights
 - ***** Dijkstra's algorithm |V| times: $O(|V||E| + |V|^2 \lg |V|)$
- General
 - ***** Floyd-Warshall algorithms: $\Theta(|V|^3)$.